

<b>UTILITY PATENT APPLICATION TRANSMITTAL</b>	<i>Title of Invention</i>	<b>ARBITRAGE FUND PROGRAM</b>
	<i>Named Inventor(s)</i>	<b>GEORGE L. STROBEL II M. GUION BOND</b>
	<i>Attorney Docket</i>	<b>10051.105002</b>
	<i>Express Mail Label No.</i>	<b>EV 330778083US</b>

031088 U.S. PTO  
 10/696985  
 10/3003

**COMMISSIONER FOR PATENTS**  
**MAIL STOP PATENT APPLICATION**  
**P.O. BOX 1450**  
**ALEXANDRIA, VA 22313-1450**

<b>APPLICATION ELEMENTS</b>		<b>ACCOMPANYING APPLICATION PARTS</b>	
<p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (<i>Submit an original, and a duplicate for fee processing</i>)</p> <p>2. <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.</p> <p>3. <input checked="" type="checkbox"/> Specification, Claims and Abstract: Total Pages <u>37</u></p> <p>4. <input checked="" type="checkbox"/> Drawings Total Sheets <u>5</u></p> <p>5. Oath or Declaration Total Pages <u>3</u></p> <p>a. <input checked="" type="checkbox"/> Newly executed (original or copy)</p> <p>b. <input type="checkbox"/> Copy from prior application (37 CFR 1.63(d)) (<i>for continuation/divisional with Box 17 completed</i>)</p> <p>(i) <input type="checkbox"/> <b><u>DELETION OF INVENTOR(S)</u></b> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</p> <p>6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76.</p> <p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (<i>Appendix</i>)</p> <p>8. Nucleotide and/or Amino Acid Sequence Submission (<i>if applicable, all necessary</i>)</p> <p>a. <input type="checkbox"/> Computer Readable Form (CRF)</p> <p>b. Specification Sequence Listing on:</p> <p>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or</p> <p>ii. <input type="checkbox"/> paper</p> <p>c. <input type="checkbox"/> Statement verifying identity of above copies</p>	<p>9. <input type="checkbox"/> Assignment Papers (cover sheet &amp; document(s))</p> <p>10. <input type="checkbox"/> 37 CFR 3.73(b) Statement (<i>when there is an assignee</i>)</p> <p><input type="checkbox"/> Power of Attorney by assignee</p> <p>11. <input type="checkbox"/> English Translation Document (<i>if applicable</i>)</p> <p>12. <input type="checkbox"/> Information Disclosure Statement (IDS) PTO-1449</p> <p><input type="checkbox"/> Copies of IDS Citations</p> <p>13. <input type="checkbox"/> Preliminary Amendment</p> <p>14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (<i>Should be specifically itemized</i>)</p> <p>15. <input type="checkbox"/> Certified Copy of Priority Document(s)</p> <p>16. <input checked="" type="checkbox"/> Assignee: <u>The Perigee Group</u> <u>100 Peabody Place, Suite 1325</u> <u>Memphis, TN 38103</u></p> <p>19. <input checked="" type="checkbox"/> Other: <u>Early Publication Request Under 37</u> <u>C.F.R. § 1.219(a) and accompanying fee.</u></p>		
<p>17. If a <b>CONTINUING APPLICATION</b>, check appropriate box and supply the requisite information:</p> <p><input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input checked="" type="checkbox"/> Continuation-in-part (CIP) of prior application No: <u>10/628,196 filed 7/28/03</u></p> <p>Prior application information: Examiner: <u>Unassigned</u> Group/Art Unit: <u>Unassigned</u></p> <p>For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.</p>			
<p>18. CORRESPONDENCE ADDRESS:</p> <p>Michael S. Pavento, Esq. KING &amp; SPALDING LLP 45<sup>th</sup> Floor 191 Peachtree Street, N.E. Atlanta, Georgia 30303</p> <p>By:  Reg. No. <u>42,985</u>      Date: October 30, 2003      Telephone: 404.572.4600      Facsimile: 404.572.5145      Customer No. 20786</p>			

# FEE TRANSMITTAL

Attorney Docket No. 10051.105002 CIP  
Express Mail Label No. EV330778083US

This sheet accompanies a patent application transmittal for the following application:

Inventor(s): **GEORGE L. STROBEL II AND M. GUION BOND**  
Filing Date: **HEREWITH**  
Title: **ARBITRAGE FUND PROGRAM**

The filing fee is calculated as shown below:

## 1. FILING FEE:

FOR:	SMALL ENTITY	
FEE	Fee Paid	Fee
<input checked="" type="checkbox"/> UTILITY FILING FEE	\$385	\$385.00
<input type="checkbox"/> DESIGN FILING FEE	\$170	\$340
<input type="checkbox"/> PLANT FILING FEE	\$265	\$530
<input type="checkbox"/> REISSUE FILING FEE	\$385	\$770
<input type="checkbox"/> PROVISIONAL FILING FEE	\$80	\$160
	<b>SUBTOTAL (1)</b>	<b>\$385</b>
		<b>XXX</b>

## 2. CLAIMS:

FOR:	NO. FILED	NO. EXTRA	RATE	SMALL ENTITY	LARGE ENTITY
TOTAL CLAIMS	18 - 20 =	0	x 9 =	FEE	RATE
INDEP. CLAIMS	2 - 3 =	0	x 43 =	0	x 86 =
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIM PRESENTED			+145 =	0	+290 =
				<b>SUBTOTAL (2)</b>	<b>0</b>
					<b>XXX</b>

## 3. ADDITIONAL FEES:

FOR:	SMALL ENTITY	
FEE	Fee Paid	Fee
<input type="checkbox"/> LATE FILING, FEE OR OATH	\$65	\$130
<input type="checkbox"/> NON-ENGLISH SPECIFICATION	\$130	\$130
<input checked="" type="checkbox"/> OTHER Early Publication Fee	\$300	\$300
	<b>SUBTOTAL (3)</b>	<b>\$300</b>
		<b>XXX</b>

**TOTAL FILING FEES: \$685.00**

A check is enclosed for the total amount: **\$685.00**

Charge any additional fees required under 37 C.F.R. 1.16 or 1.17 to Deposit Account 11-0980.

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By:   
Michael S. Pavento, Attorney for Applicant  
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Date: 10/30/03

PATENTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : **Not Yet Assigned**  
Applicant : **George L. Strobel, II and M. Buion Bond**  
Filed : **Herewith (October 27, 2003)**  
Title : **Arbitrage Fund Program**

Docket No. : **10051.105002**  
Customer No. : **20786**

**EARLY PUBLICATION REQUEST UNDER 37 C.F.R. § 1.219(a)**

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Commissioner for Patents  
Mail Stop Patent Application  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

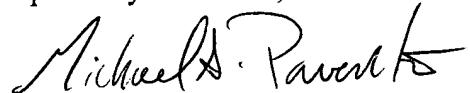
The Applicants named in the patent application identified above hereby request early publication under 37 C.F.R. § 1.219(a). Applicants request that the U.S. Patent and Trademark Office publish the application as soon as possible. This request is accompanied by a check for \$300 in payment of the fee set forth in 37 C.F.R. § 1.18(d).

11/03/2003 WABRHAM1 00000061 10696985

02 FC:1504

300.00 DP

Respectfully submitted,

 10/30/03

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